IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Nosker and Richard Renfree

Confirmation No.:

7140

Application No.:

10/501,701

Examiner:

J. Mullis

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Group Art Unit:

1711

For:

COMPOSITIONS AND METHODS OF MAKING PLASTIC

ARTICLES

Attorney Docket No.: 70439.00026 (P34,035 USA)

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Rule 37 C.F.R. § 1.132 Declaration of Thomas J. Nosker, Ph.D.

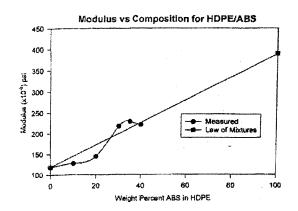
I, Thomas J. Nosker, Ph.D., declare and say that:

- I am a Professor in the Department of Ceramic and Materials Engineering at Rutgers University, the assignee of the above-identified patent application. I am also a co-inventor on this application. I have over twenty years of experience in plastics recycling and immiscible polymer processing and behavior.
- 2. I am familiar with the prosecution history of U.S. Patent Application Serial No. 10/501,701 (the '701 application), at least to the extent that it is my knowledge that the application has been rejected as unpatentable in view of U.S. Patent No. 5,989,683 (the '683 patent), U.S. Publication No. 2002/0017743 (the '743 publication), International Publication No. WO96/07703 (the '703 publication) alone or in combination with U.S. Patent No. 5,937,521 (the '521 patent) or U.S. Patent No. 6,001,491 (the '491 patent), or U.S. Patent No. 5,334,647 (the '647 patent) in view of the '521 patent or the '491 patent. I am very familiar with these publications.
- 3. The '683 patent and the '743 publication disclose blends of polycarbonate and acrylonitrile butadiene styrene.

- 4. The '703 publication discloses blends of polycarbonate and substantially linear ethylene polymers. The density of the substantially linear ethylene polymers recited in the '703 publication is between about 0.850 to about 0.935 g/cc, preferably about 0.860 to about 0.900 g/cc (Page 8, lines 29-31).
 - 5. The '647 patent discloses immiscible thermoplastic polymer blends.
- 6. The '521 patent discloses improvements in a method of extruding plastic members. The plastic matrices recited in the '521 patent include thermoplastic resins, such as high density polyethylene (HDPE), low density polyethylene (LDPE), linear low density polyethylene (LLDPE), polypropylene (PP), thermoplastic polyester (PET), or combinations thereof (Col. 4, lines 59-65).
- 7. The '491 patent discloses composite thermoplastic marine pilings. The thermoplastic compositions of the '491 patent include a polyolefin resin, a filler, and a functionalized compound (Col. 3, lines 53-64).
- 8. The claims of the present application are directed to immiscible polymer blends, which include high density polyethylene (HDPE) and acrylonitrile-butadiene-styrene (ABS) or polycarbonate (PC) or a mixture of ABS and PC, wherein said HDPE has a melt flow at 190°C/2.16Kg of less than about 1g/10min, and said PC, ABS or mixture of PC and ABS has a melt flow at 190°C/2.16Kg greater than about 1g/10min.
- 9. The Examiner considers the immiscible polymer blends of the present invention obvious in view of the references cited in paragraphs 3 and 4 allegedly because the polymer blends of the references appear to have the same properties exhibited by the blends of the present invention.
- 10. The Examiner considers the immiscible polymer blends of the present invention obvious in view of the '647 patent allegedly because all features of the blends of the present invention appear in the '647 patent, albeit never together in a single composition.

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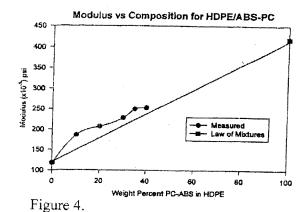
- 11. However, the references cited in paragraphs 3-5 do not disclose blends of HDPE and ABS, HDPE and PC, or HDPE, PC, and ABS. The '683 patent and the '743 publication do not disclose polyethylene. Additionally, the substantially linear ethylene polymers recited in the '703 publication are not HDPE. As recited at page 3, lines 31-32 of the present application, HDPE has a density greater than 0.940 g/cc. In contrast, the upper limit of the density of the ethylene polymers of the '703 publication is 0.935 g/cc. Furthermore, the '647 patent does not specifically disclose blends of HDPE and ABS, HDPE and PC, or HDPE, PC, and ABS.
- 12. Further, the data presented in Figures 3-5 and Tables II-IV of the present application, which are set forth below, indicates that the HDPE/ABS, HDPE/PC, and HDPE/PC/ABS blends of the present invention exhibited a modulus greater than what would have been expected based upon the additive contributions of each polymer to overall stiffness.



Tensile properties of mixtures of AES and HOPE			
SAMPLE	MODULUS (psi)	ULTIMATE STRENGTH	
10% ABS	128,600	2.70%	
90% HDPE			
20% ABS	145,000	2.800	
80% HDPE			
30% ABS	218,033	3,301	
70% HDPE			
35% ABS	229,000	3,400	
65% HDPE			
40%-ABS	222,000	3,500	
60% HDPE			
100% ABS	390,000	5.500	

Figure 3.

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Tensile properties of mixtures of PCABS and HDPE		
SAMPLE	MODULUS (psi)	ULTIMATE STRENGTH
10% PC/ABS 90% HDPE	185,0(*)	3,100
20% PC/ABS 80% HDPE	207,000	3,300
30% PC/ABS 70% HDPE	228,000	3,700
35% PCPABS 65% HDPE	250,000	4,100
40% PC/ARS 60% HDPE	253,000	4,3(1)
100% PC/ABS	418,000	7,900
VACUUMED PC/ABS	419,000	8,000

		Mcdul	us vs Com;	osition for	HDPE/PC	
	450 -					
	400 -					
ä	350 -					
Modulus (x 10 ⁻³) psi	300 -		_			
Julus (250 -					
¥	200				easured w of Mixture's	
	150 -				***************************************	1
	100		<u> </u>		7	
	0	20	40	60	80	100
			Weight Percer	at PC in HOPE		

Tensile properties of mixtures of PC and HDPE			
SAMPLE	MODULUS (psi)	ULTIMAIE STRENGTH (psi)	
10% PC	179,000	3,100	
90% HDPE 20% PC 80% HDPE	226,000	3,400	
30% PC	213,000	3,800	
70% HDPE 35% PC 55% HDPE	234,000	4.100	

TABLE IV-continued			
Tensile properties of mixtures of PC and HDPF			
SAMPLE	MODULUS (psi)	ULTIMATE STRENGTH (psi)	
40% PC 60% HDPE	274,000	4,200	
PC DRY	408,000	9,000	

Figure 5.

13. The linear plot in each of Figures 3-5 shows the modulus of various mixtures of HDPE/ABS, HDPE/PC, and HDPE/ABS/PC based on the law of mixtures. The curved line shows that the blends actually exhibited a modulus greater than what would have been expected based upon the additive contributions of each polymer to overall stiffness. Therefore, the HDPE/ABS, HDPE/PC, and HDPE/ABS/PC blends represent an inventive step over the state of art identified by the Examiner.

14. I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true and further that the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Thomas J. Nosker, Ph.D.

1/15/08 Date